

# Triodos Bank.

## Transfer authority form between existing Triodos ISAs.

You can use this form to transfer balances and subscriptions from a Triodos cash ISA, Triodos Ethical Stocks and Shares ISA or cash held in a Triodos Innovative Finance ISA to an existing or recently applied for Triodos cash ISA or Stocks and Shares ISA.

If you want to transfer a Triodos cash ISA or Triodos Stocks and Shares ISA to a Triodos Innovative Finance ISA, go to [www.triodoscrowdfunding.co.uk](http://www.triodoscrowdfunding.co.uk) for details of how to do this.

If you wish to transfer more than one existing Triodos ISA please complete a separate authority form for each ISA.

You can only transfer into a Triodos Fixed Rate ISA for 60 days from the date you opened it.

If you are unsure as to whether or not selling assets in a stocks and shares ISA or innovative finance ISA (known as liquidating) is right for you, please seek independent financial advice. If you don't have an independent financial advisor, you can get details of local financial advisors by visiting [www.unbiased.co.uk](http://www.unbiased.co.uk).

This form should be completed either by printing a blank copy and filling in the required fields, or by filling in the fields online and then printing and signing the form.

If you are filling in this form by hand, please use BLOCK CAPITALS.

**Please make sure that you complete all sections of this form.**

Transfers subject to acceptance. When we receive this completed form, we will proceed with your transfer and write to tell you when it has been completed.

If you have any questions please email us at [contact@triodos.co.uk](mailto:contact@triodos.co.uk) or call us on 0330 355 0355.

## Section 1: Applicant details

Full name (including title)

Date of birth

/ /

**National Insurance Number**

Do you have a National Insurance Number?

Yes No

If yes, please enter your National Insurance Number here

Permanent residential address

Postcode

Telephone (home\*)

Telephone (work\*)

Email\*

(\*if you are happy to be contacted by telephone and email in the future)

## Section 2: Information about the ISA you wish to transfer

Which type of ISA do you want to transfer from?

Triodos cash ISA – **complete section a**

Triodos Ethical Stocks and Shares ISA – **complete section b**

Triodos Innovative Finance ISA – **complete section c**

Please note: HM Revenue & Customs ISA Regulations - only whole transfers of current tax year subscriptions and interest can be accepted. Previous years' subscriptions can be transferred as a whole or in part.

### Section a. The Triodos cash ISA you are transferring FROM

Account number

Have you subscribed to the cash ISA that you are transferring from in the current tax year?

Yes No

How much of your Triodos cash ISA do you want to transfer?

transfer current year's subscriptions of a Triodos cash ISA in whole, and/or

transfer previous years' subscriptions (including Tessa Only ISAs) in whole or part

If part, how much would you like to transfer?

If whole, the account will be closed.

**A Triodos Fixed Rate ISA can only be transferred in its entirety and then it will be closed. Partial transfers are not allowed.**

### Notice period and charges

Fixed Rate ISA

A full transfer out of a Fixed Rate ISA is subject to a charge equivalent to:

2 year term – 180 days' interest

3 year term – 270 days' interest

The charge will be taken from the account balance, so you might transfer less than originally deposited.

Other Triodos cash ISAs

Where your Triodos cash ISA has a notice period for withdrawals or closure, you must give consent to either option (a) or (b) below (please tick one box)

a. serve the full notice period of days/months before processing this instruction

b. proceed immediately with the transfer (note that we will waive the early access charge in this instance)

## Section b. The Triodos Ethical Stocks and Shares ISA you are transferring FROM

Account number

Have you subscribed to the Triodos Ethical Stocks and Shares ISA that you are transferring from in the current tax year?

Yes No

How much of your Triodos Ethical Stocks and Shares ISA do you want to transfer?

entire ISA

current tax year's ISA subscriptions in whole, and/or

previous tax years' ISA subscriptions in whole or part

If part, how much would you like to transfer?

We can only transfer cash proceeds after your shares have been sold. If your subscriptions are invested in more than one of the Triodos Socially Responsible Investment Fund share classes, please specify the share class(es) from which you would like to sell the shares. If you are not transferring the whole of your holding a minimum fund balance of £500 applies. If your transfer takes the fund below that minimum balance we will not be able to process the instruction.

Fund options	Values of shares to be sold	
	Distribution shares	Capitalisation shares
Triodos Sustainable Equity Fund		
Triodos Sustainable Pioneer Fund		

## Section c. The Triodos Innovative Finance ISA you are transferring FROM

Account number

Have you subscribed to the Triodos Innovative Finance ISA that you are transferring from in the current tax year?

Yes No

How much of your Triodos Innovative Finance ISA do you want to transfer?

entire ISA

current tax year's ISA subscriptions in whole, and/or

previous tax years' ISA subscriptions in whole or part

If part, how much would you like to transfer?

Please note: Only cash proceeds can be transferred from an IFISA. We are unable to arrange the sale of investments held in an IFISA so you must arrange the sale and ensure the cash proceeds are available to enact this transfer before you complete this form.

## Section 3: Triodos ISA you wish to transfer into

Triodos cash ISA Account number

If you have recently applied for a new Triodos cash ISA and don't yet have the account number please tell us which ISA you have applied for

OR

Triodos Ethical Stocks and Shares ISA Account number

Please tell us which of the Triodos Socially Responsible Investment Fund share classes you would like to invest in:

The minimum initial investment per fund is £1,000.

The minimum lump sum top up per share class is £500.

Fund options	Values of shares to be purchased	
	Distribution shares	Capitalisation shares
Triodos Sustainable Equity Fund		
Triodos Sustainable Pioneer Fund		

## Section 4: Application and declaration (please read & sign)

I apply to transfer my existing Triodos ISA.

If transferring funds from a Triodos cash ISA to a Triodos Ethical Stocks and Shares ISA, I confirm that I have read and understood in full the information contained in the relevant Key Investor Information Document(s), the Supplementary Information Document, the Socially Responsible Investment Funds and Ethical Stocks and Shares ISA Terms & Conditions.

I acknowledge your right to suspend operations on this account until satisfactory details about my identification have been supplied. I declare that this transfer authority form has been completed to the best of my knowledge and belief and I will undertake to notify you without delay should any of the details change.

Signature

Date

/ /

# Your checklist

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Please check you have:

Completed sections 1 - 4

Signed and dated the Application and Declaration

(If you haven't already opened it) completed an application for a Triodos ISA that you wish to transfer your subscriptions to

For transfers to your existing Triodos cash ISA, send the completed transfer authority form to:

## Freepost TRIODOS BANK

For transfers to your existing Triodos Ethical Stocks and Shares ISA, send the completed transfer authority form to:

Freepost RTAB-JGZR-BUHK  
Triodos Bank  
T. Bailey Asset Management Ltd  
64-66 St James's Street  
Nottingham  
NG1 6FJ

Freephone: 0330 355 0355  
contact@triodos.co.uk  
www.triodos.co.uk

Calls to and from Triodos Bank may be recorded for training and monitoring purposes.

Triodos Bank NV (incorporated under the laws of the Netherlands with limited liability, registered in England and Wales BR3012). Authorised by the Dutch Central Bank and subject to limited regulation by the Financial Conduct Authority and Prudential Regulation Authority. Details about the extent of our regulation by the Financial Conduct Authority and Prudential Regulation Authority are available from us on request. Registered Office: Triodos Bank, Deanery Road, Bristol BS1 5AS. VAT reg no 793493383

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**Triodos @ Bank**

## For Triodos Bank use only

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Payment reference number

SS TRF IN

For fund administrator use only

Payment reference number

Account name: Triodos Bank Client Money - Transactional

Sort Code: 08-90-02

Account number: 68380911