

# Triodos Bank.

## ISA transfer authority form from another provider to a Triodos Ethical Stocks and Shares ISA.

You must complete this form to transfer a Cash, Stocks and Shares, Innovative Finance or Lifetime ISA that you already hold with another provider into a Triodos Ethical Stocks and Shares ISA.

If you don't already hold a Triodos Ethical Stocks and Shares ISA, you must complete a Triodos Ethical Stocks and Shares ISA application form in addition to this form and return them together.

If you wish to transfer more than one ISA please complete a separate authority form for each ISA. Additional transfer authority forms can be downloaded from our website.

This form should be completed by filling in the required fields online and confirming you have read and agreed to the declaration under 'Section 4' by clicking the tick box.

Completed forms should be emailed to: [clientservices@tbailey.co.uk](mailto:clientservices@tbailey.co.uk)

If the details you provide as part of this application are different to the details we currently hold then we may change your existing record. You may be contacted to verify this change or to request further confirmation.

Transfers are subject to acceptance.

When we receive this completed form, we will contact your current ISA provider to arrange the transfer and write to tell you when it has been completed.

## Section 1: Your details

Title Mr / Mrs / Ms / Miss / Dr / Other

First name(s)

(please use your full name and not a nickname)

Surname

Date of birth

/ /

Do you have a National Insurance Number?

Yes No

If yes, please enter your National Insurance Number here

Permanent residential address

Postcode

Telephone (home\*)

Mobile\*

Email\*

(\*if you are happy to be contacted by telephone and email in the future)

## Section 2: Information about the ISA you wish to transfer to a Triodos Ethical Stocks and Shares ISA

The minimum initial investment per fund is £1,000.

The minimum lump sum top up per share class is £500.

Which type of ISA do you want to transfer from?

Stocks and Shares ISA

Cash ISA

Innovative Finance ISA

Lifetime ISA

Please note: Due to HM Revenue & Customs ISA Regulations, only whole transfers of current tax year subscriptions and interest can be accepted. Previous years' subscriptions can be transferred as a whole or in part.

Details of the ISA you are transferring from

Account number

Sort code

- -

Reference number (if applicable)

From (name of your current ISA provider)

Have you subscribed to the ISA that you are transferring to Triodos Bank in the current tax year?

Yes No

How much of your ISA do you want to transfer?

entire ISA

current tax year's ISA subscriptions in whole, and/or

previous tax years' ISA subscriptions in whole or

previous tax years' ISA subscriptions in part

If part, how much would you like to transfer?

If you are transferring part of a stocks and shares ISA, and your subscriptions are invested in more than one share class, please specify the name of the share class(es) from which you would like to sell the shares.

Notice period and charges

Where an existing cash ISA that you wish to transfer to Triodos Bank has a notice period for withdrawals or closure, you must give consent to either option (i) or (ii) below (please tick one box)

i. serve the full notice period of days/months before processing this instruction

ii. proceed immediately with the transfer and bear any consequential penalty which may be applied by your current provider

## Section 3. Triodos Ethical Stocks and Shares ISA you wish to transfer into

Account number

### How I'd like the funds from my ISA transfer invested

Please tell us which of the Triodos Impact Investment Fund share classes you would like to invest in. The total amount entered in the table below must equal 100%

Fund options	% of transfer to be invested	
	Distribution shares	Capitalisation shares
Triodos Global Equities Impact Fund	£	£
Triodos Pioneer Impact Fund	£	£

## Section 4: Application and declaration (please read & tick)

I apply to transfer my existing ISA to Triodos Bank UK Ltd.

### Transfer authority

I authorise my existing ISA Manager (as specified on this form) to transfer the ISA (account number above) to Triodos Bank UK Ltd. I authorise my existing ISA Manager to provide Triodos Bank UK Ltd. with any information, written or non-written, concerning the Stocks and Shares, Cash, Innovative Finance or Lifetime ISA and to accept any instructions from them relating to the ISA being transferred. I authorise Triodos Bank UK Ltd. to share the information on this form with my current ISA Manager.

I apply to subscribe for a Stocks and Shares ISA for the tax year 2020/2021

### I declare that

all subscriptions made, and to be made, belong to me; I am 18 years of age or over;

I have not subscribed and will not subscribe more than the overall subscription limit to any combination of permitted ISAs in the same tax year (from 6 April);

I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same year that I subscribe to this Stocks and Shares ISA and

I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Triodos Bank if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

### I authorise Triodos Bank UK Ltd.

to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;

to make on my behalf any claims to relief from tax in respect of ISA investments and

to make any enquiries that may be considered necessary to confirm the details on this form.

I confirm that I have read and understood in full the information contained in this Application Form, the relevant Key Investor Information Document(s), the Supplementary Information Document, the Impact Investment Funds and Ethical Stocks and Shares ISA Terms and Conditions.

These documents constitute the agreement between me and Triodos Bank and I agree to be bound by the terms and conditions contained therein. I have kept a copy of them for my records.

I acknowledge that this application is subject to acceptance by Triodos Bank and your right to suspend operations on this account until satisfactory details about my identification have been supplied. Also that Triodos Bank accepts no responsibility for any loss incurred as a result of any delay in the submission and processing of the application form.

I agree to the Impact Investment Funds and Ethical Stocks and Shares ISA Terms and Conditions.

I declare that this application form has been completed to the best of my knowledge and belief and I will undertake to notify you without delay should any of the details change.

Date

/ /

## Your checklist

Please check you have:

Completed sections 1 - 4

Confirmed and dated the Application and Declaration section

(If you don't already hold one) completed a Triodos Ethical Stocks and Shares ISA application form.

Please send the completed ISA transfer authority by email to:

[clientservices@tbailey.co.uk](mailto:clientservices@tbailey.co.uk)

### For fund administrator use only

Payment reference number

Triodos Bank Transactional Client Money

Sort Code: 60-00-04

Account number: 10019561

**Information for current ISA Manager**

Please ensure cheques are made payable to **Triodos Bank Client Money** and send this with full history of the ISA being transferred plus the date of transfer to the address above.

Telephone: 0330 355 0355  
contact@triodos.co.uk  
www.triodos.co.uk

Calls to and from Triodos Bank may be recorded for training and monitoring purposes.

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