

Triodos Bank. Socially Responsible Investment Funds account application form.

This form should be completed either by printing a blank copy and filling in the required fields, or by filling in the fields online and then printing the form. Please ensure all applicants sign the declaration before sending the completed application form to:

Freepost RTAB-JGZR-BUHK
Triodos Bank
T. Bailey Asset Management Ltd
64-66 St James's Street
Nottingham
NG1 6FJ

Before completing this application form, please make sure you have read the SICAV I Prospectus and UK addendum, Key Investor Information Document(s) for the funds, the Supplementary Information Document and the Socially Responsible Investment Funds and Ethical Stocks and Shares ISA Terms and Conditions.

Important notice for all new and existing customers

We are required by law to check the identity of customers opening an account. To help us verify your identity and address we may make an electronic search, using the information you have supplied on this form. Please see the Socially Responsible Investment Funds and Ethical Stocks and Shares ISA Terms and Conditions for further information. In some instances we may need to ask you to send in further information or documents.

If the details you provide as part of this application are different to the details we currently hold then we may change your existing record. You may be contacted to verify this change or to request further confirmation. We have indicated in the form where details will be automatically updated.

We can accept applications for up to two joint share holders. Joint accounts will require signatures from both account holders for all future instructions to be processed.

Advisor details (if applicable)

Name and address:

Email

Telephone

FCA Reference Number

Intermediary Number

Would you like to receive account and share information in addition to the applicant?

Yes No

Please fill in sections 1 - 5

If you are filling in this form by hand, please use BLOCK CAPITALS.

Section 1: Applicant details

How did you hear about Triodos Bank?

Personal details

Title Mr / Mrs / Ms / Miss / Dr / Other

First name(s)

(for identification purposes, please use your full name and not a nickname)

Surname

Male Female

Date of birth

/ /

Country of birth

Nationality

Permanent residential address

Please be aware that we do not accept applications from non-UK residents

Postcode

Telephone (home)*

Mobile*

Email*

(*if you are happy to be contacted by telephone and email in the future)

If we already hold your address, telephone or email details, they will be updated with information you have provided in this section.

We need your email address to set up your internet banking access which will allow you to see details of your investments and their value online.

If we already have your email address, your investment account will automatically have internet access too.

National Insurance

Do you have a National Insurance Number?

Yes No

If yes, please enter your National Insurance Number

- - - -

(you should be able to find your National Insurance Number on your payslip, form P45 or P60, a letter from HMRC, a letter from the DWP or pension order book)

Tax Residency

Are you tax resident in the UK?

Yes No

Are you tax resident in any country other than the UK?

Yes No

If yes, where else are you tax resident?

Please provide your Tax Identification Number (TIN) or equivalent for each country where you are tax resident.

Country

TIN

Country

TIN

Country

TIN

United States tax liability

Are you a United States person?

A 'United States person' includes citizens and residents of the United States. Please see www.irs.gov for more details.

Yes No

If yes, please enter your US Tax Identification Number

Security word

To help us identify you if you call, please provide us with a security word if you don't already have one

(between 8 and 20 characters)

Please remember your security word, as you will need to use it each time you call for information about your account or if you need to reset your access to internet banking. If you are an existing customer this will replace your current security word.

Personal details – Second applicant

Title Mr / Mrs / Ms / Miss / Dr / Other

First name(s)

(for identification purposes, please use your full name and not a nickname)

Surname

Male Female

Date of birth

/ /

Country of birth

Nationality

Permanent residential address

Please be aware that we do not accept applications from non-UK residents

Postcode

Telephone (home)*

Mobile*

Email*

(*if you are happy to be contacted by telephone and email in the future)

If we already hold your address, telephone or email details, they will be updated with information you have provided in this section.

We need your email address to set up your internet banking access which will allow you to see details of your investments and their value online.

If we already have your email address, your investment account will automatically have internet access too.

National Insurance

Do you have a National Insurance Number?

Yes No

If yes, please enter your National Insurance Number

- - - -

(you should be able to find your National Insurance Number on your payslip, form P45 or P60, a letter from HMRC, a letter from the DWP or pension order book)

Tax Residency

Are you tax resident in the UK?

Yes No

Are you tax resident in any country other than the UK?

Yes No

If yes, where else are you tax resident?

Please provide your Tax Identification Number (TIN) or equivalent for each country where you are tax resident.

Country

TIN

Country

TIN

Country

TIN

United States tax liability

Are you a United States person?

A 'United States person' includes citizens and residents of the United States. Please see www.irs.gov for more details.

Yes No

If yes, please enter your US Tax Identification Number

Security word

To help us identify you if you call, please provide us with a security word if you don't already have one

(between 8 and 20 characters)

Please remember your security word, as you will need to use it each time you call for information about your account or if you need to reset your access to internet banking. If you are an existing customer this will replace your current security word.

Section 2: Investment details

The minimum initial investment per fund is £1,000.

Please give details of the initial investment(s) you would like to make:

Fund options	Sum to be invested	
	Distribution shares (please give us details of where to transfer any dividend income in section 3)	Capitalisation shares
Triodos Sustainable Equity Fund	£	£
Triodos Sustainable Pioneer Fund	£	£

Please tell us how you would like to make this new investment by ticking the relevant boxes below:

By cheque

This cheque must be from a personal account in your own name. The cheque should be made payable to Triodos Bank Client Money.

I enclose a cheque for

By electronic bank transfer

You must send us your funds within 10 days of us receiving this application. Please contact your sending bank to carry out the instruction. It must be sent from a sterling account in your own name with another UK bank/building society. Please give details below.

Bank or Building Society name

Account holder's name

Sort code

Account number

Payment should be made to the following account:

Triodos Bank Transactional Client Money

Sort Code: 60-00-04

Account number: 10019561

When transferring money please put your name as the payment reference.

By transfer from your existing UK Triodos Bank account

Please transfer

from

Triodos Bank account holder name(s)

Triodos Bank account number

If the existing UK Triodos Bank account is a joint account which is jointly operated, we will require signatures from both account holders.

We will carry out this transfer on your behalf. If your existing Triodos UK account has a notice period, it will be waived and no early access charge applied. Transfers that exceed £100,000 may experience delays.

Signature - First account holder

Signed

Signature - Second account holder

Signed

For fund administrator use only

Payment reference number

Intermediary Number

Triodos Bank Transactional Client Money

Sort Code: 60-00-04

Account number: 10019561

Section 3: Nominated account

You must nominate an account for settlements to be transferred to you when you sell shares and/or close your account.

If you are purchasing Distribution Shares this is also the account where any dividend income from your investments will be paid in to.

Your nominated account must be a sterling account in your own name with either another UK bank/building society or an existing UK Triodos Bank account.

Bank or Building Society name

Account holder's name

Sort code

- -

Account number

or

Triodos Bank Account Number

Section 4: Account set up

Providing we have your email address, once processed, you will be able to view your Triodos Socially Responsible Investment Funds account through internet banking.

Full details of the information that you will be able to see in internet banking and changes you can make to your account online are listed in the Supplementary Information Document and Socially Responsible Investment Funds and Ethical Stocks and Shares ISA Terms and Conditions.

Sign up to receive further information from us

At Triodos Bank, we believe dialogue is crucially important and we value the opportunity to share our mission and impact. At the same time, transparency has always been a core value of the bank, and this extends to how we use your data. We believe it is important to deal with your money consciously, and we do the same with your data.

How we use your personal information

We won't share your information with anyone else without informing you and will only share your data with other organisations required to complete processing necessary to provide our products and services. For information about how Triodos Bank use and share your personal information please see our Privacy Statement at www.triodos.co.uk/privacy-statement. By applying for this product, you acknowledge and understand that your personal data will be processed and stored for future reference in accordance with this Privacy Statement.

Receive marketing about our products and services

Let us know if you would like to be kept up-to-date with information about our products and services that we believe may be of interest to you.

By email By post Please don't send

Receive inspiring news

Let us know if you'd like to stay connected to the projects and organisations that money from Triodos Bank customers helps to finance. This includes receiving our 'Colour of Money' publication, email newsletters and other inspirational communications such as invitations to events, competitions and stories.

By email By post Please don't send

Selecting option 'By email' means you will also receive our Colour of Money publication by post

If you are already a Triodos Bank customer this will replace your current preferences. You can change your preferences at any time in Internet Banking.

Section 5: Declaration (please read & sign)

Declaration

I/We declare that this application form has been completed to the best of my/our knowledge and belief and will undertake to notify you without delay should any of the details change.

I/We confirm that I/we have read and understood in full the information contained in this application form, the relevant Key Investor Information Document(s), the Supplementary Information Document, the Socially Responsible Investment Funds and Ethical Stocks and Shares ISA Terms and Conditions and the Triodos Bank UK Best Execution Policy. These documents constitute the agreement between me/us and Triodos bank and I/we agree to be bound by the terms and conditions contained therein. I/we have kept a copy of them for my/our records.

I/We request Triodos Bank to act in accordance with my/our instructions.

I/We acknowledge that this application is subject to acceptance by Triodos Bank and your right to suspend operations on this account until satisfactory details about my/our identification have been supplied. Also that Triodos Bank accepts no responsibility for any loss incurred as a result of any delay in the submission and processing of the application form.

Signature – First applicant

Signed

Date

/ /

Signature – Second applicant

Signed

Date

/ /

Your checklist

Please check you have:

Completed sections 1 - 5

Signed and dated the Declaration

Enclosed a cheque for the opening deposit (if applicable)

Made a transfer from your sterling account for the initial investment (if applicable)

Send your completed application form to:

Freepost RTAB-JGZR-BUHK
Triodos Bank
T. Bailey Asset Management Ltd
64-66 St James's Street
Nottingham
NG1 6FJ

Telephone: 0330 355 0355
contact@triodos.co.uk
www.triodos.co.uk

Calls to and from Triodos Bank may be recorded for training and monitoring purposes.

Triodos Bank NV (incorporated under the laws of the Netherlands with limited liability, registered in England and Wales BR3012). Authorised by the Dutch Central Bank and subject to limited regulation by the Financial Conduct Authority and Prudential Regulation Authority. Details about the extent of our regulation by the Financial Conduct Authority and Prudential Regulation Authority are available from us on request. Registered Office: Triodos Bank, Deanery Road, Bristol BS1 5AS. VAT reg no 793493383

©Triodos Bank NV 2019

TB/SRI.AF/INT/MAR19

Triodos @ Bank