

# Triodos Bank. Ethical Stocks and Shares ISA top up form.

If you need help completing this form please call us on 0330 355 0355.  
For investment advice, please speak to a financial advisor.

This form should be completed by filling in the required fields online,  
and confirming you have read and agreed to the declaration under  
'Section 3' by clicking the tick box.

Completed forms should be emailed to: [clientservices@tbailey.co.uk](mailto:clientservices@tbailey.co.uk)

If the details you provide as part of this application are different to the  
details we currently hold, then we may change your existing record.  
You may be contacted to verify this change or to request further  
confirmation.

Ethical Stocks and Shares ISA number

## Section 1: Your details

Title Mr / Mrs / Ms / Miss / Dr / Other

First name(s)

(for identification purposes, please use your full name and not a nickname)

Surname

Date of birth

/ /

Do you have a National Insurance Number?

Yes No

If yes, please enter your National Insurance Number here

Male Female

Permanent Residential Address

Postcode

Telephone (home\*)

Mobile\*

Email\*

(\*if you are happy to be contacted by telephone and email in the future)

## Section 2: Top up instruction

Investments may be added to your Triodos Ethical Stocks and Shares ISA by

- buying more shares in the Triodos Impact Investment Funds using all or part of this year's ISA allowance, and/or
- wrapping your existing investments in the Triodos Impact Investment Funds to use up all or part of this year's ISA allowance

Please give details of the investment(s) you would like to make

**2a. Buy more shares in the Triodos Impact Investment Funds using all or part of this year's ISA allowance.**

The minimum initial investment deposit into a fund is £1,000.

The minimum lump sum top up per share class is £500.

Fund options	Sum to be invested	
	Distribution shares (income will be paid to your nominated account)	Capitalisation shares
Triodos Global Equities Impact Fund	£	£
Triodos Pioneer Impact Fund	£	£

If you are investing in a different fund to your existing investment,  
please make sure you have read the relevant Key Investor Information  
Document.

Please tell us how you would like to make this investment by ticking the  
relevant boxes below.

By electronic bank transfer

The transfer must be received within 10 days of us receiving this  
application. Please contact your sending bank to carry out the  
instruction. It must be sent from a sterling account in your own name(s)  
with another UK bank/building society. Please give details below.

Bank or Building Society name

Account holder's name

Sort code

Account number

**Payment should be made to the following account:**

**Triodos Bank Transactional Client Money**

Sort Code: **60-00-04**

Account number: **10019561**

When transferring money please use your name as the payment reference.

By transfer from your existing UK Triodos Bank (non-ISA) account.

Please transfer

Triodos Bank account holder name(s)

Triodos Bank account number

If the existing UK Triodos Bank account is a joint account which is jointly operated we will require both account holders to authorise the payment. Please note that the second account holder is only authorising the money transfer and will not be authorised to operate this account.

We will carry out this transfer on your behalf. If your existing UK Triodos Bank account has a notice period, it will be waived and no early access charge applied as long as the transfer is for no more than £100,000. Transfers that exceed this amount may experience delay.

Please note the Stocks and Shares ISA holder must also confirm they have read and agreed to the Confirmation and Declaration under Section 3.

First account holder

First account holder name

Date

/ /

Second account holder

Second account holder name

Date

/ /

**For fund administrator use only**

Payment reference number

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Triodos Bank Transactional Client Money

Sort Code: 60-00-04 Account number: 10019561

**2b. Wrap your existing investments in the Triodos Impact Investment Funds into your Triodos Ethical Stocks and Shares ISA**

The minimum lump sum top up per share class is £500.

Fund options	Sum to be wrapped		Or	Wrap my whole existing investment
	Distribution shares (income will be paid to your nominated account)	Capitalisation shares		
Triodos Global Equities Impact Fund	£	£		
Triodos Pioneer Impact Fund	£	£		

Please note: There is an overall subscription limit for ISAs which is set by HMRC. You can invest the full amount in a stocks and shares ISA, or if you already have a cash ISA, the balance up to the overall subscription limit.

## Section 3: Confirmation and Declaration

This section must be completed by clicking the tick box at the bottom or we will not be able to accept your top up request.

**ISA application**

I apply to subscribe for a Stocks and Shares ISA for the tax year 2020/2021 and each subsequent year until further notice (the tax year ends on 5 April).

**I declare that**

all subscriptions made, and to be made, belong to me; I am 18 years of age or over;

I have not subscribed and will not subscribe more than the overall subscription limit to any combination of permitted ISAs in the same tax year (from 6 April); I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same year that I subscribe to this Stocks and Shares ISA and

I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Triodos Bank if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

**I authorise Triodos Bank**

to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; to make on my behalf any claims to relief from tax in respect of ISA investments and

to make any enquiries that may be considered necessary to confirm the details on this form.

I confirm that I have read and understood in full the information contained in this Application Form, the relevant Key Investor Information Document(s), the Supplementary Information Document, the Impact Investment Funds and Ethical Stocks and Shares ISA Terms and Conditions.

these documents constitute the agreement between me and Triodos Bank and I agree to be bound by the terms and conditions contained therein. I have kept a copy of them for my records.

I acknowledge that this application is subject to acceptance by Triodos Bank and your right to suspend operations on this account until satisfactory details about my identification have been supplied. Also that Triodos Bank accepts no responsibility for any loss incurred as a result of any delay in the submission and processing of the application form.

I agree to the Impact Investment Funds and Ethical Stocks and Shares ISA Terms and Conditions.

I declare that this application form has been completed to the best of my knowledge and belief and I will undertake to notify you without delay should any of the details change.

Date

/ /

# Your checklist

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**Please check you have:**

Completed sections 1 – 3, including confirming you have agreed to and dated the Confirmation and Declaration

Arranged payment by electronic transfer

Please return this completed form by email to:

**clientservices@tbailey.co.uk**

Large print, braille and audio versions  
available on request

Telephone: 0330 355 0355  
contact@triodos.co.uk  
www.triodos.co.uk

Calls to and from Triodos Bank may be recorded for training and monitoring purposes.

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